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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002 OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 8258X  
First Inventor Peter Yau Tak Lin  
Assignee The Procter & Gamble Company  
Title IMPROVED EMULSIFIER SYSTEMS FOR  
USE IN MAKIING DEHYDRATED STARCH  
INGREDIENTS  
Express Mail Label No. EF208859674US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)  
2. ☐ Applicant claims small entity status  
(see 37 CFR §1.27)  
3. ☒ Specification Total Pages [39]  
(preferred arrangement set forth below)  
- Descriptive Title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R&D  
- Reference to sequence listing, a table, or a computer  
program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

☒ Drawing(s) (35 USC §113) Total Sheets [2]

5. Oath or Declaration Total pages [2]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 complete)  
i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.63(d)(2) and 1,33(b).

6. ☐ Application Data Sheet. See 37 CFR §1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.  
17. ☐ Other: .....

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

[ 27752 ] Customer Number or Bar Code Label (Insert Customer No or Attach bar code label here 27752)

|                   |              |                                   |          |
|-------------------|--------------|-----------------------------------|----------|
| Name (Print/Type) | Carl J. Roof | Registration No. (Attorney/Agent) | 37,708   |
| Signature         | Carl J. Roof | Date                              | 09-26-01 |

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09/26/01



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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | Unknown            |
| Confirmation Number  | Unknown            |
| Filing Date          | September 26, 2001 |
| First Named Inventor | Peter Yau Tak Lin  |
| Examiner Name        | Unknown            |
| Group/Art Unit       | Unknown            |
| Attorney Docket No.. | 8258X              |

TOTAL AMOUNT OF PAYMENT (\$1,096.00)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter &amp; Gamble Company

- ☒ Charge Any Additional Fee Required Under status. See 37 CFR §127 37 C.F.R. §§1.16 and 1.17

## FEE CALCULATION

### 1. BASIC FILING FEE - Large Entity

| Code | (\$) | Fee Description        | Fee Paid |
|------|------|------------------------|----------|
| 101  | 710  | Utility filing fee     | [710]    |
| 106  | 320  | Design filing fee      | []       |
| 107  | 490  | Plant filing fee       | []       |
| 108  | 710  | Reissue filing fee     | []       |
| 114  | 150  | Provisional filing fee | []       |

SUBTOTAL (1) (\$)[710]

### 2. EXTRA CLAIM FEES - Large Entity

|                    | Extra Claims       | Below Fee | Fee Paid |
|--------------------|--------------------|-----------|----------|
| Total Claims       | [37] - 20** = [17] | x [18]    | = [306]  |
| Independent Claims | [4] - 3** = [1]    | x [80]    | = [80]   |
| Multiple Dependent |                    | [] = []   |          |

\*\* or number previously paid, if greater; For Reissues, see below

| Code | (\$) | Fee Description   |
|------|------|---|
| 103  | 18   | Claims in excess of 20                                  |
| 102  | 80   | Independent claims in excess of 3                       |
| 104  | 270  | Multiple dependent claim, if not paid                   |
| 109  | 80   | **Reissue independent claims over original patent       |
| 110  | 18   | **Reissue claims in excess of 20 & over original patent |

SUBTOTAL (2) (\$)[386]

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Code | (\$)   | Fee Description  | Fee Paid |
|------|--------|--|----------|
| 105  | 130    | Surcharge-late filing fee or oath                                | []       |
| 127  | 50     | Surcharge-late provisional filing fee or cover sheet             | []       |
| 139  | 130    | Non-English specification  | []       |
| 147  | 2,520  | For filing a request for <i>ex parte</i> reexamination           | []       |
| 112  | 920*   | Requesting publication of SIR prior to Examiner's action         | []       |
| 113  | 1,840* | Requesting publication of SIR after Examiner's action            | []       |
| 115  | 110    | Extension for reply within 1 <sup>st</sup> month                 | []       |
| 116  | 390    | Extension for reply within 2 <sup>nd</sup> month                 | []       |
| 117  | 890    | Extension for reply within 3 <sup>rd</sup> month                 | []       |
| 118  | 1,390  | Extension for reply within 4 <sup>th</sup> month                 | []       |
| 128  | 1,890  | Extension for reply within 5 <sup>th</sup> month                 | []       |
| 119  | 310    | Notice of Appeal   | []       |
| 120  | 310    | Filing a brief in support of an appeal                           | []       |
| 121  | 270    | Request for oral hearing   | []       |
| 138  | 1,510  | Petition to institute a public use proceeding                    | []       |
| 140  | 110    | Petition to revive - unavoidable                                 | []       |
| 141  | 1,240  | Petition to revive - unintentional                               | []       |
| 142  | 1,240  | Utility issue fee (or reissue)                                   | []       |
| 143  | 440    | Design issue fee   | []       |
| 144  | 600    | Plant issue fee  | []       |
| 122  | 130    | Petitions to the Commissioner                                    | []       |
| 123  | 50     | Petitions related to provisional applications                    | []       |
| 126  | 180    | Submission of Information Disclosure Statement                   | []       |
| 146  | 710    | Filing a submission after final rejection (37 CFR § 1.129(a))    | []       |
| 149  | 710    | For each additional invention to be examined (37 CFR § 1.129(b)) | []       |
| 179  | 710    | Request for Continued Examination (RCE)                          | []       |
| 169  | 710    | Request for expedited examination of a design application        | []       |
|      |        | Other fee (specify) _____  | []       |
|      |        | Other fee (specify) _____  | []       |

\* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$)[0]

## SUBMITTED BY

| Name (Print/Type) |                                   |        | Complete (if applicable) |                |
|-------------------|-----------------------------------|--------|--------------------------|----------------|
| Carl J. Roof      | Registration No. (Attorney/Agent) | 37,708 | Telephone                | (513) 634-5209 |
| Signature         | Carl J. Roof                      |        | Date                     | 09/26/2001     |

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